

## Contact

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# Davide Battista

Investment Manager / Fee-only based  
Rome Area, Italy

## Summary

2001-present sb Investments & Trusts Consultancy, Rome.

- Co-founder, independent investment manager and managing partner. As independent I managed the investments of my partners or customers through the 2001 great financial crisis, following the twin towers collapse, the real estate market crise and the 2008 international stocks exchange shock by banks defaults. My personal customers leave rate is next to zero.

1999-2001 ZurichScudderThreadneedle Investments, Rome.

A McKinsey & Co. driven company

In Partnership with

Kairos P.; LombardOdier

D.H.

- Served as provider of multi-managed investment services to clients through the use of stocks, bonds and managed funds (as OICR/OICVM, SICAV, Hedge funds, Private Equity Funds); financial brokerage & markets analyses; creative finance, volatility management; individual portfolio design and guidance; support to the administrative team; hedge funds special training.

Specialties: Providing investment plans and comprehensive unit trusts.

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## Experience

sb Investments & Trusts Consultancy  
Investment Manager  
September 2001 - Present  
Italy, Europe.

Providing comprehensive unit trusts designed and actively managed as individual hedge funds; preparation of tools for investors decisions. Unlimited choice of investment vehicles, i.e.: stocks, bonds, derivatives, certificates, ETF, Mutual & Hedge funds, Private Equity Funds and all the underlying categories.

Strategical allocation and tactical money management - based on the latest EU directives - in order to enhance customers both absolute & benchmarked returns; quantitative/social screening. I keep fit by riding bike regularly and enjoy relaxing with friends or at home with a good book.

Otherwise, no mandates for Board memberships, nor consulting activities for important European or foreign organisations. No significant official functions or political offices.

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## Education

CONSOB - Italian Financial Services Regulatory Authority, Rome.  
Certified Investment Services Provider by national exam. Fee-only  
Independent from 2001, Financial Services Act n.: 58/98 · (2000 - 2000)

SDA L. Bocconi, Milan.

Asset management and performance valuation Training, Strategic and tactical asset allocation, Monitoring of the performance and periodical review · (2000 - 2000)

Hampstead School of London and Westminster AES, London.  
English communication courses · (1999 - 1999)

National public contest for the Justice Administration, Rome.  
First of Step 3 examination, Jurisdictional functions · (1997 - 1999)

Università degli Studi di Roma “La Sapienza”.

Law degree, Final thesis: Constitutional Court Jurisprudence evolution of the personal defense right · (1991 - 1997)

## Volunteer Experience

Co-founder & Managing Partner  
sb Investments, Studio Battista  
Sep 2001 – Present

Interested in law and modern finance. Several of my commentaries are published by the best independent websites and institutional websites by the major public financial services authorities. I have been author of several articles on finance for alumni and professional practice on corporate governance issues. I have written on asset allocation, regulation of banks, hedge funds, mutual funds and intermediaries, the global competitiveness of financial markets, model risk, risk management and other financial innovations. The writings have appeared in many reviews of finance (e.g. Bologna University financial web review) and financial markets authority (CONSOB) consultation document on MiFID and on the regulation of intermediaries and advisers. A 2001 article suggested that “sophisticated” financial frameworks and practice will misunderstand macro to micro and micro to macro risk management because of cognitive biases (such as to ignore negative events), compensation structure, and other factors, and proposed possible responses (such as systematic fee only based asset manager or investment advisers for companies and individuals). It also showed how this phenomenon can introduce systemically hidden (morphable) ownership and undermine the soundness of individual corporations and the overall financial system. Teacher in law and economics consisting in tutorial, demonstrating, lecturing and researching across disciplinary boundaries taught primarily through a comparative and intercultural outlook. Innovation in course and modes of delivery at State High School of Civitavecchia, L.Calamatta, and Professional Courses financed by European Social Funds, at Anapia cfp, Rome; Vanvitelli itgc, Ostia (Rm); Di Vittorio itc, Rome; IPSSCT, v. della Paglia 50, Rome.

Various sports and interests: tennis, american football, mountain bike, badminton, books & friends.

For more updates and insights, please visit: [www.sb-ic.com](http://www.sb-ic.com)